

PRIVACY POLICY (Reg S-P)

Ashram Capital LLC – Privacy Policy (Reg S-P)

Information Collected

Ashram Capital, LLC (“the Firm”) collects non-public personal information about clients and prospective clients in connection with providing investment advisory services.

This information may include:

- Personal information (such as name, address, email address, and phone number)
- Financial information (such as income, assets, net worth, and investment objectives)
- Information related to transactions and account activity

Information is collected from:

- Information provided directly by the client
 - Information generated through transactions and account activity
 - Information received from third parties, such as custodians, where applicable
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Use of Information

The Firm uses client information to:

- Provide investment advisory services
 - Maintain and service client accounts
 - Comply with legal and regulatory requirements
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Information Sharing

The Firm does not sell client information.

The Firm does not disclose non-public personal information to non-affiliated third parties except as permitted by law. Such disclosures may include:

- Qualified custodians (e.g., Charles Schwab & Co., Inc.)
- Service providers necessary to support the Firm's operations
- Regulatory authorities, as required

Since the Firm does not share information beyond what is permitted by law, clients are not required to take any action to limit such sharing.

Data Protection

The Firm maintains administrative, technical, and physical safeguards designed to protect client information against unauthorized access, use, or disclosure.

Access to client information is limited to the Firm and to service providers who require such information to perform their duties.

Former Clients

The Firm maintains the same privacy protections for former clients as it does for current clients.

Privacy Notice Delivery

The Firm provides clients with this Privacy Policy at the time of engagement and annually thereafter, as required by applicable regulations.

Effective Date: 02/05/2026